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PTO/SB/21 (08-00) Please type applus sign (+) inside this box \Box Customer No. 00270 Application Number 10/630,072 RADEMAR TRANSMITTAL Filing Date 07/30/2003 **FORM** First Named Inventor Frank Paul et al. **Group Art Unit** (to be used for all correspondence after initial filing) **Examiner Name** NSH2USA Total Number of Pages in this **Attorney Docket Number** Submission ENCLOSURES (check all that apply) ☐ After Allowance Communication to Group Assignment Papers (for an Application) Fee Transmittal Form Appeal Communication to Board of Appeals and ☐ Fee Attached ☐ Drawing(s) Interferences ☐ Amendment/Reply ☐ Licensing-related Papers ☐ Appeal Communication to Group (Appeal Notice, After Final ☐ Petition Brief, Reply Brief) ☐ Affidavits/declaration(s) Proprietary Information Petition to Convert to a Provisional Application ☐ Extension of Time Request ☐ Status Letter Power of Attorney, Revocation Express Abandonment Request Other Enclosure(s) (please identify below): Change of Correspondence Address - Submission of new power of attorney ☐ Information Disclosure Statement ☐ Terminal Disclaimer - Copies of (3) assignments ☐ Certified Copy of Priority Request for Refund Document(s) Remarks: Response to Missing Parts/Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or George A. Smith, Jr. Individual Name Signature 03/2/5/04 Date

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: 03/25/04

CERTIFICATE OF MAILING

Typed or printed name George A. Smith Jr.

Signature Date 03/25/04

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/630072

Applicant: Paul et al. Filed: 30 July 2003

Docket No.: P32168 (NSH2USA)

Customer No. 00270

Confirmation No. 9432

SUBMISSION OF NEW POWER OF ATTORNEY

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)(1)(ii) (PATENT)

I certify that this paper is being deposited on the date shown below with the United States Postal Service, with sufficient postage, as first class mail and is addressed to "Commissioner for Patents, P. O. Box 1459, Alexandria, AA 22313-1450."

Signed -

Date: March 25, 200

Sir:

We enclose herewith a "POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST AND REVOCATION OF PRIOR POWERS," appointing the undersigned, and others in the firm of Howson and Howson as attorneys in the above-identified patent application.

The undersigned is authorized to act on behalf of the assignee, as shown by the enclosed power of attorney.

The chain of title is shown by the following documents, copies of which are attached, and all of which have either been recorded, or submitted to the Patent and Trademark Office for recordation:

1. Assignments of the parent application, 09/830396 (of which this application is a division) by the inventors, Frank

Paul, Karl Pavey, and Richard C. Payne, to SmithKline Beecham Corporation, respectively dated April 30, 2001, April 27, 2001 and May 30, 2001, recorded at Reel 111968, frame 942 on June 29, 2001.

- 2. Assignment by SmithKline Beecham Corporation to SmithKline Beecham PLC, dated March 10, 2004, and effective July 31, 2003.
- 3. Assignment by SmithKline Beecham PLC to Akubio Limited, dated August 27, 2003.

Respectfully submitted, HOWSON & HOWSON

Вv

George A. Smith, Jr

Reg. No. 24,442 Howson & Howson

Box 457

Spring House, PA 19477

Telephone: 215 540 9200 Facsimile: 215 540 5818

Attachments



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Patent Application: 10/630072

Application Filing Date: 30 July 2003

Title: QUARTZ CRYSTAL MICROBALANCE WITH FEEDBACK LOOP FOR AUTOMATIC GAIN CONTROL ·

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)(1)(ii) (PATENT)

I certify that this paper is being deposited on the date shown below with the United States Postal Service, with sufficient postage, as first class mail and is addressed to "Commissioner for Patents,, P. O. Box 1450, Alexandria, VA 22313-1450."

Signed

Date

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST AND REVOCATION OF PRIOR POWERS

Sir:

The assignee of record of the entire interest of the above-identified patent application is:

Akubio Limited

The assignee's current address is

181 Cambridge Science Park Milton Road Cambridge CB4 0GJ United Kingdom.

as shown by the assignment, a copy of which is attached, and the original of which is being submitted to the Patent and Trademark Office for recordation.

The assignee hereby revokes all powers of attorney previously given in connection with the above-identified patent application, and hereby appoints the following attorneys and agent to transact all business in the U.S. Patent and Trademark Office connected with the above-identified patent application and any patent granted thereon: George A. Smith, Jr., Registration No. 24,442; Stanley B. Kita, Registration No. 24,561; Mary E. Bak,

Registration No. 31,215; Cathy A. Kodroff, Registration No. 33,980; William Bak, Registration No. 37,277; and Tracy U. Palovich, Registration No. 47,840 (Patent Agent), all of the firm of HOWSON AND HOWSON (User Number 00270).

Please direct all telephone calls to George A. Smith, Jr. at telephone number (215) 540-9204, and address all correspondence to HOWSON AND HOWSON, Spring House Corporate Center, Box 457, Spring House, Pennsylvania 19477.

I certify that the assignee named above is the assignee of the entire right, title and interest in the patent application identified above, and that I am authorized to act on behalf of the assignee. To the best of the my knowledge and belief, the assignee named above holds the entire right, title, and interest in the above-identified patent application.

AKUBIO LIMITED

Date: X 5 November 2003

Name: Dr. Mouther Coope

Title: X CSO





UNITED STATES DEPARTMENT Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

PTAS

SMITHKLINE BEECHAM CORPORATION DARA L. DINNER CORPORATE INTELLECTUAL PROPERTY-UW2220 P.O. BOX 1539 KING OF PRUSSIA, PA 19406-0939

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RECORDATION DATE: 06/29/2001

REEL/FRAME: 011968/0942

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PAUL, FRANK

DOC DATE: 04/27/2001

ASSIGNOR:

PABEY, KARL

DOC DATE: 04/30/2001

ASSIGNOR:

PAYNE, RICHARD C.

DOC DATE: 05/30/2001

ASSIGNEE:

SMITHKLINE BEECHAM CORPORATION ONE FRANKLIN PLAZA 200 NORTH 16TH STREET

PHILADELPHIA, PENNSYLVANIA 19103

SERIAL NUMBER: 09830396

PATENT NUMBER:

FILING DATE: 06/29/2001

ISSUE DATE:

JOANN STEWART, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

PTO/PCT Rac'd 29 JUN 2001

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•	ATTORNEY DOCKET NO. P32168	1100
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6 2000	Commissioner of Patents. Ple:	III III III iii iii ii thosaaf
MAR 2 9 2004	101778667	thereof.
å # .	1. Name of conveying party(ies)	2. Name and address of receiving party(ies)
ALL LIBERT	mres	0 11777 5
THE THEOLEMAN OF	Frank Paul 6-29-01	SmithKline Beecham Corporation
	Karl Pavey	One Franklin Plaza
	Richard C. Payne	200 North 16th Street
		Philadelphia, PA 19103
	Additional names of conveying party(ies) attached?	United States of America
	[] yes [X] no	Additional consects at all and the section of
		Additional name(s) and addresses attached? [] yes [X] no
		[] yes [A] no
	3. Description of the interest conveyed:	<u> </u>
	[X] Assignment, please record and return	Merger
	[] Security Agreement [] [] Other	Change of Name
	Execution date of Assignment:	April 27, 30 and May 30, 2001
	4. Application number(s) or patent number(s). Add	itional Sheets attached? Yes X No
	A. Patent Application No.(s)	B. Patent No.(s)
•	09/830,396 FILED 26 APRIL 2001	: D. Tatem 140.(5)
	1 1	lew application, the execution date of the application is
	If this document is being fried together with a h	icw application, the execution date of the application is
	5. Name and address of party to whom	6. Total number of applications and
	correspondence concerning documents should	patents involved 1.
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	Dara L. Dinner	7. Total Fee (37 C.F.R. 3.41) \$40.00
	SmithKline Beecham Corporation	
	Corporate Intellectual Property - UW2220	8. Please charge this fee to deposit
	P.O. Box 1539	account No. <u>19-2570</u> .
	King of Prussia, PA 19406-0939	The Commissioner is hereby authorized to charge any
	1511g of 114351a, 1 A 15400-0555	additional fees under 37 CFR 1.16 or 1.17 which may be required by this paper, or credit any overpayment, to
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Dara L. Dinner

Name of Person Signing

Statement and Signature.

copy is a true copy of the original document.

Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached

<u>29 June 2001</u>

Date

Total number of pages including cover sheet, attachments and document: $\underline{\mathbf{4}}$

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4/

Docket No.: P32168

ASSIGNMENT

WHEREAS I/We, Frank PAUL of GlaxoSmithKline, New Frontiers Science Park South, Third Avenue, Harlow, Essex CM19 5AW, United Kingdom and Karl PAVEY, c/o GlaxoSmithKline, New Frontiers Science Park South, Third Avenue, Harlow, Essex CM19 5AW, United Kingdom and Richard C PAYNE of GlaxoSmithKline, The Frythe, Welwynm, Hertfordshire AL6 9AR, United Kingdom made an invention entitled:

QUARTZ CRYSTAL MICROBALANCE WITH FEEDBACK LOOP FOR AUTOMATIC GAIN CONTROL

for which on April 27, 30 and May 30, 2001 We executed an application for Letters Patent of the United States of America:

NOW, THEREFORE, in return for valuable consideration paid to me/us by SmithKline Beecham Corporation (hereinafter "ASSIGNEE"), the receipt of which is hereby acknowledged and intending to be legally bound, I/we do hereby assign unto the said ASSIGNEE, its successors and assigns, the entire right, title and interest in and to the said invention, said executed application, any division, continuation and continuation-in-part of said application and reissue applications, and all Letters Patents of the United States of America to be obtained therefor;

I/we hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

In addition I/we agree to provide ASSIGNEE upon its request with all pertinent facts and documents relating to said invention, and said Letters Patent as may be known and accessible to me/us, and to testify as to the same in any interference or litigation related thereto, and to execute further instruments (including divisional, continuation, continuation-in-part or reissue applications, affidavits or other instruments) required to apply for, obtain, maintain and enforce said application and said Letters Patent which may be necessary; this agreement to be binding upon my/our heirs, executors and administrators.

	Date:
Inventor 1	
Frank PAUL	
	Date:
Inventor 2	
Karl PAVEY	
×Rxh	Date: \(\frac{30-5-01}{20}
Inventor 1	

Richard C PAYNE

Docket No.: P32168

ASSIGNMENT

WHEREAS I/We, Frank PAUL of GlaxoSmithKline, New Frontiers Science Park South, Third Avenue, Harlow, Essex CM19 5AW, United Kingdom and Karl PAVEY, c/o GlaxoSmithKline, New Frontiers Science Park South, Third Avenue, Harlow, Essex CM19 5AW, United Kingdom and Richard C PAYNE of GlaxoSmithKline, The Frythe, Welwynm, Hertfordshire AL6 9AR, United Kingdom made an invention entitled:

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			NEE"), the receipt of which
is hereby acknowledge	wledged and intend	ing to be legally bou	nd, I/we do hereby assign

unto the said ASSIGNEE, its successors and assigns, the entire right, title and interest in and to the said invention, said executed application, any division, continuation and continuation-in-part of said application and reissue applications,

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Inventor 1	Date:
Frank PAUL,///	
Inventor 2	Date: \
Karl PAVEY	
	Date:
Inventor 1	
Richard C PAYNE	

Docket No.: P32168

ASSIGNMENT

WHEREAS I/We, Frank PAUL of GlaxoSmithKline, New Frontiers Science Park South, Third Avenue, Harlow, Essex CM19 5AW, United Kingdom and Karl PAVEY, c/o GlaxoSmithKline, New Frontiers Science Park South, Third Avenue, Harlow, Essex CM19 5AW, United Kingdom and Richard C PAYNE of GlaxoSmithKline, The Frythe, Welwynm, Hertfordshire AL6 9AR, United Kingdom made an invention entitled:

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Inventor 1 Frank PAUL Date: \(\sigma \cdot \lambda \cdot \lambda \la
Inventor 2 Karl PAVEY
Inventor 1

Inventor 1
Richard C PAYNE